



Current Claims Schedule

1 Claims 1-24 (cancelled).

1 25. (Previously Presented) A method of electronically issuing an electronic negotiable
2 document (END) comprising: creating as data an END and storing this in a tamper-
3 resistant document carrier hardware, the document carrier hardware containing a unique
4 public-secret key pair for signing and verifying, and a unique document carrier identifier;
5 signing the unique document-carrier identifier, the END and an END identifier using the
6 secret key of the public-secret key pair, and storing the result in the document carrier
7 hardware.

1 26. (Previously Presented) A method according to Claim 25 of issuing an END, further
2 comprising generating a time stamp representing the time of issue and storing this with
3 the END in the tamper-resistant document carrier hardware before the signing step.

1 27. (Previously Presented) A method according to Claim 25 of issuing an END, includ-
2 ing the step of calculating a hash value of the END and/or the time stamp value and stor-
3 ing this hash value instead of the full END in the tamper-resistant document carrier
4 hardware, before the said signing step.

1 28. (Previously Presented) A method according to Claim 25 of issuing an END, in which
2 the document carrier identifier is a device number and the END identifier is a serial num-
3 ber.

1 29. (Previously Presented) A method according to Claim 25 of issuing an END, in which
2 the END identifier is supplemented with data representing a water mark unique to the is-
3 suer.

1 30. (Previously Presented) A method according to Claim 25 of issuing an END, compris-
2 ing the step of calculating a hash value of the data to be signed using said secret key, in
3 place of the full data.

1 31. (Previously Presented) A method according to Claim 25 of issuing an END, in
2 which the document carrier hardware stores a negotiability status flag indicative of
3 whether the END stored therein is negotiable or non-negotiable, and including the step of
4 setting the flag to "negotiable" after the result of the encryption has been stored in the
5 document carrier hardware.

1 32. (Previously Presented) A method according to Claim 25 of issuing an END, in
2 which the document carrier hardware includes a counter for counting a serial number,
3 indicative of the number of times that the END has been negotiated since issue, and com-
4 prising the step of setting the counter to zero after the result of the encryption has been
5 stored in the document carrier hardware.

1 33. (Currently Amended) Tamper-resistant document carrier hardware adapted to store
2 an END in accordance with the method of Claim 25, said hardware comprising read only
3 software for controlling the steps of storing the END, encrypting the END and other data
4 with ~~the pre-stored~~said secret key, and storing the result in a memory.

1 34. (Previously Presented) Document carrier hardware according to Claim 33, in which
2 the memory includes a negotiability status flag capable of being set either to "negotiable"
3 or to "non-negotiable".

1 35. (Previously Presented) Document carrier hardware according to Claim 33, in which
2 the memory includes a counter for storing a serial number representative of the number of
3 times the END has been negotiated.

1 36. (Previously Presented) A method of electronically negotiating an END between a
2 seller and a buyer each possessing a tamper-resistant document carrier hardware having
3 its own public-secret key pair, in which the END is stored in the seller's document carrier
4 hardware in the form of END data, and the signature generated by the secret signing-key
5 of a document carrier of the issuer of the END, together with a negotiability status flag
6 indicative of whether the END is currently negotiable from the document carrier hard-
7 ware on which it is stored, comprising establishing mutual recognition between the seller
8 and buyer using one or more predetermined protocols between the buyer's and seller's
9 document carrier hardware; verifying in the seller's document carrier hardware that the
10 negotiability status flag is "negotiable" and aborting the negotiation if not; sending the
11 public encryption key of the buyer's document carrier hardware to the seller's document
12 carrier hardware, and using it to encrypt ~~the~~ a message comprising the END together with
13 the negotiability status flag; sending that encrypted message to the buyer's document car-
14 rier hardware; decrypting that message using the buyer's secret decryption key, and set-
15 ting the negotiability status flag for that END of the buyer's and seller's document carrier
16 hardware respectively to "negotiable" and "non-negotiable".

1 37. (Previously Presented) A method of electronically negotiating an END between a
2 seller and, a buyer each possessing a tamper-resistant document carrier hardware having
3 its own public-secret key pair, in which the END is stored in the seller's document carrier
4 hardware in the form of END data, and the signature generated by the secret signing key
5 of a document carrier hardware of the issuer of the END, together with a serial number
6 counter indicative of the number of times that the END has been negotiated since issue,
7 comprising establishing mutual recognition between seller and buyer using one or more
8 predetermined protocols between the buyer's and seller's document carrier hardware
9 verifying in the seller's document carrier hardware that the END, if it has been stored
10 previously in that document carrier hardware, has a different counter value this time and
11 is therefore negotiable; sending the public encryption key of the buyer's document carrier
12 hardware to the seller's document carrier hardware, and using it to encrypt the message

13 comprising the END together with the counter; sending that encrypted message to the
14 buyer's document carrier hardware; decrypting that message using the buyer's secret de-
15 cryptation key, and incrementing the counter by one.

1 38. (Previously Presented) A method according to Claim 36, in which each document
2 carrier hardware is installed originally with a certificate comprising a digital signature of
3 its unique identifier and of its public key.

1 39. (Previously Presented) A method according to Claim 37, in which each document
2 carrier hardware is installed originally with a certificate comprising a digital signature of
3 its unique identifier and of its public key.

1 40. (Previously Presented) A method according to Claim 38, in which the certificate
2 unique to the document carrier hardware on which the END was originally issued is
3 stored with the END in the seller's document carrier hardware.

1 41. (Previously Presented) A method according to Claim 39, in which the certificate
2 unique to the document carrier hardware on which the END was originally issued is
3 stored with the END in the seller's document carrier hardware.

1 42. (Previously Presented) A method according to Claim 38, in which the certificate of
2 the buyer's document carrier hardware is sent to the seller's document carrier hardware in
3 which it is authenticated and the negotiation is aborted if authentication fails.

1 43. (Previously Presented) A method according to Claim 39, in which the certificate of
2 the buyer's document carrier hardware is sent to the seller's document carrier hardware in
3 which it is authenticated and the negotiation is aborted if authentication fails.

1 44. (Previously Presented) A method according to Claim 36, in which the buyer's docu-
2 ment carrier hardware, after decrypting the message using its secret key, verifies the sig-

3 nature of the issuer on the END, and informs the issuer in the event that authentication
4 fails.

1 45. (Previously Presented) A method according to Claim 37, in which the buyer's docu-
2 ment carrier hardware, after decrypting the message using its secret key, verifies the sig-
3 nature of the issuer of the END, and informs the issuer in the event that authentication
4 fails.

1 46. (Previously Presented) A method according to Claim 25, of issuing an END on a
2 document-carrier hardware followed by a method of negotiating an END between a seller
3 and a buyer each possessing a tamper-resistant document carrier hardware having its own
4 public-secret key pair, in which the END is stored in the seller's document carrier hard-
5 ware in the form of END data, and the signature generated by the secret signing-key of a
6 document carrier hardware of the issuer of the END, together with a negotiability status
7 flag indicative of whether the END is currently negotiable from the document carrier
8 hardware on which it is stored, comprising establishing mutual recognition between the
9 seller and buyer using a predetermined protocol between the buyer's and seller's docu-
10 ment carrier hardware; verifying in the seller's document carrier hardware that the nego-
11 tiability status flag is "negotiable" and aborting the negotiation if not; sending the public
12 encryption key of the buyer's document carrier hardware to the seller's document carrier
13 hardware, and using it to encrypt the message comprising the END together with the ne-
14 gotiability status flag; sending that encrypted message to the buyer's document carrier
15 hardware; decrypting that message using the buyer's secret decryption key, and setting
16 the negotiability status flag for that END of the buyer's and seller's document carrier
17 hardware respectively to "non-negotiable" and "negotiable".

1 47. (Previously Presented) A method according to Claim 25, of issuing an END on a
2 document-carrier hardware followed by a method of negotiating an END between a seller
3 and a buyer each possessing a tamper-resistant document carrier hardware having its own
4 public secret key pair, in which the END is stored in the seller's document carrier hard-

5 ware in the form of END data, and the signature generated by the secret signing key of a
6 document carrier hardware of the issuer of the END, together with a serial number
7 counter indicative of the number of times that the END has been negotiated since issue,
8 comprising establishing mutual recognition between seller and buyer using a predeter-
9 mined protocol between the buyer's and seller's document carrier hardware; verifying in
10 the seller's document carrier hardware that the END, if it has been stored, previously in
11 that document carrier hardware, has a different counter value this time and is therefore
12 negotiable, but aborting the negotiation if it is not negotiable; sending the public encryp-
13 tion key of the buyer's document carrier hardware to the seller's document carrier hard-
14 ware, and using it to encrypt the message comprising the END together with the counter;
15 sending that encrypted message to the buyer's document carrier hardware; decrypting
16 that message using the buyer's secret decryption key, and incrementing the counter by
17 one.

1 48. (Previously Presented) A method according to Claim 26, of issuing an END on a
2 document- carrier hardware followed by a method of negotiating an END between a
3 seller and a buyer each possessing a tamper-resistant document carrier hardware having
4 its own public-secret key pair, in which the END is stored in the seller's document carrier
5 hardware in the form of END data, and the signature generated by the secret signing-key
6 of a document carrier hardware of the issuer of the END, together with a negotiability
7 status flag indicative of whether the END is currently negotiable from the document car-
8 rier hardware on which it is stored, comprising establishing mutual recognition between
9 the seller and buyer using a predetermined protocol between the buyer's and seller's
10 document carrier hardware; verifying in the seller's document carrier hardware that the
11 negotiability status flag is "negotiable" and aborting the negotiation if not; sending the
12 public encryption key of the buyer's document carrier hardware to the seller's document
13 carrier hardware, and using it to encrypt the message comprising the END together with
14 the negotiability status flag; sending that encrypted message to the buyer's document car-
15 rier hardware, decrypting that message using the buyer's secret decryption key, and set-

16 ting the negotiability status flag for that END of the buyer's and seller's document carrier
17 hardwares respectively to "non-negotiable" and "negotiable".

1 49. (Previously Presented) A method according to Claim 26, of issuing an END on a
2 document-carrier hardware followed by a method of negotiating an END between a seller
3 and a buyer each possessing a tamper-resistant document carrier hardware having its own
4 public secret key pair, in which the END is stored in the seller's document carrier hard-
5 ware in the form of END data, and the signature generated by the secret signing key of a
6 document carrier hardware of the issuer of the END, together with a serial number
7 counter indicative of the number of times that the END has been negotiated since issue,
8 comprising establishing mutual recognition between seller and buyer using a predeter-
9 mined protocol between the buyer's and seller's document carrier hardwares; verifying in
10 the seller's document carrier hardware that the END, if it has been stored previously in
11 that document carrier hardware, has a different counter value this time and is therefore
12 negotiable, but aborting the negotiation if it is not negotiable; sending the public encryp-
13 tion key of the buyer's document carrier hardware to the seller's document carrier hard-
14 ware, and using it to encrypt the message comprising the END together with the counter;
15 sending that encrypted message to the buyer's document carrier hardware; decrypting
16 that message using the buyer's secret decryption key, and incrementing the counter by
17 one.

1 50. (Previously Presented) A method according to Claim 48, in which the buyer's docu-
2 ment carrier hardware, after decrypting the message with its secret key, verifies that the
3 END is still valid by taking its time stamp, and, if it has expired, informs the issuer of
4 this, and aborts the negotiation before incrementing the counter or setting the negotiation
5 status flag.

1 51. (Previously Presented) A method according to Claim 49, in which the buyer's docu-
2 ment carrier hardware, after decrypting the message with its secret key, verifies that the
3 END is still valid by taking its time stamp, and, if it has expired, informs the issuer of

4 this, and aborts the negotiation before incrementing the counter or setting the negotiation
5 status flag.

1 52. (Previously Presented) A method according to Claim 36, including recovering the
2 negotiation of an END which has previously broken down, by providing the buyer's
3 document-carrier hardware with the necessary secret key which has been reproduced by
4 the issuer or by a trusted third party.

1 53. (Previously Presented) A method according to Claim 37, including recovering the
2 negotiation of an END which has previously broken down, by providing the buyer's
3 document-carrier hardware with the necessary secret key which has been reproduced by
4 the issuer or by a trusted third party.

1 54. (Previously Presented) A method according to Claim 36, including recovering an
2 END lost from primary document-carrier hardware, by activating a back-up document-
3 carrier hardware which has previously been provided with back-up data reproduced from
4 the primary document-carrier hardware.

1 55. (Previously Presented) A method according to Claim 37, including recovering an
2 END lost from primary document-carrier hardware, by activating a back-up document-
3 carrier hardware which has previously been provided with back-up data reproduced from
4 the primary document-carrier hardware.

1 56. (Previously Presented) A method according to Claim 52, comprising inhibiting the
2 recovery until the expiry of the predetermined period of validity of the END.

1 57. (Previously Presented) A method according to Claim 53, comprising inhibiting the
2 recovery until the expiry of the predetermined period of validity of the END.

1 58. (Previously Presented) A method according to Claim 54, comprising inhibiting the
2 recovery until the expiry of the predetermined period of validity of the END.

1 59. (Previously Presented) A method according to Claim 55, comprising inhibiting the
2 recovery until the expiry of the predetermined period of validity of the END.

1 60. (Previously Presented) A method of electronically negotiating an END, sold by a
2 seller to a buyer, in which the buyer splits the END electronically into two or more parts
3 and then negotiates those parts separately to one or more further buyers.

1 61. (Previously Presented) A method according to Claim 60, in which each part is sub-
2 jected to the digital signature of the document carrier hardware of said buyer which ef-
3 fects the splitting.